AAHSL Scholarly Communications Committee

November 2009 Meeting

**Summary of NIH Public Access Mandate Mini-Survey Project
2008 and 2009 Surveys of AAHSL Members**

In June of 2008 and again in June of 2009, the Schol Com NIH P/A Mini-Survey Task Force surveyed the AAHSL Membership about institutional responses to the NIH Public Access Mandate that became law in April of 2008.   59 responses were received in 2008, and 53 in 2009. Members of the task force are noted on the last page of this report. A summary of the responses is posted on the AAHSL site. This report compares the responses to these two surveys.

New data from the 2009 survey include ranking the effectiveness of methods used to promote compliance and information about the effect of this mandate on institutional repositories. Notable in both surveys is the lead role libraries continue to play in supporting, publicizing, and helping guide institutions towards compliance.

The 2009 survey again demonstrates that communication with and guidance for faculty around scholarly publishing is a growing role for libraries. 68% of respondents noted an increase in Schol Com communication this past year. This does not need to be limited to the NIH/PA mandate, and many libraries continue to use the mandate as an opportunity to have important discussions with researchers, authors, and administrators.

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AAHSL NIH Public Access Mandate (NIH/PA) Survey
AASHL Scholarly Communications Committee

Surveys posted to AAHSL 6/29/08 and 6/18/09

SUMMARY

Link to 2008 Survey:
http://www.atsu.edu/atsu/lrc/survey/SurveySummary.html

Link to 2009 Survey:
http://www.surveymonkey.com/sr.aspx?sm=8efmjaIs0BSidmWctmA9IPTgmUpz9MaVftwxf
ED5xbg_3d

	2009	2008
Number of responses	53	59
Q4 - Role of Library?		
Info on website	94%	86%
Lib classes or lectures	53%	61%
Consultations	90%	81%
Newsletter items	85%	83%
Tech support EXCLUDE deposit	45%	54%
Tech support INCLUDE deposit	32%	26%

Other & Comments:
Participated in a campus wide event in coordination with Research Services Dept (5)
Lead discussions or presentations at faculty meetings (5)
Scholarly Communications Committee (1 active 1 in process)
All of these worked well and increased visibility of the library (1)

Q6 - Role of Office of Research?

Info on website	65%	60%
Research classes or lectures	25%	23%
Consultations	35%	38%
Newsletter items	37%	49%
Tech support EXCLUDE deposit	25%	15%
Tech Support INCLUDE deposit	2%	0%

Other & Comments

They generally refer all questions to us!
[research office] Too busy to bother, OA not important to senior faculty
Office of research links to library's site and suggest content
Library is expected to take the lead on this (3)
Different policies at different schools

Note: The following questions not asked in 2008

Q9 - Effectiveness of each method?	1 N/A	2 Not	3 Somewhat	4 Effective	5 Very
Info on Library Website	12%	2%	40%	**42%**	4%
Discussion at library staff meetings	35%	0%	**37%**	23%	5%
Discussion at Library/Research Mtgs	**58%**	0%	15%	27%	0%
Campus events by library	28%	7%	22%	**39%**	4%
Consultations (one on one)	6%	2%	12%	35%	**45%**
Library eductional resources	11%	7%	**54%**	28%	0%
Tech support EXCLUDE depositing	**37%**	0%	23%	30%	10%
Tech support INCLUDE depositing	**55%**	5%	10%	15%	15%

Other & Comments

Getting the word out the most challenging part.
Not sure what "effective" means -- if compliance, not sure. (2)
Process has become easier - researchers need less help.
Library's expertise was critical in the drive to quickly become compliant.
We have seen a marked increase in our support for depositing articles.

Q 11 - Library staff more involved in ScholCom issues during past year?
Check all that apply.

Increased questions	68%
More presentations	30%
ScholCom Committee	13%
Increased outreach	62%
No change	23%

Q 12 - NIH P/A questions received by library?

Depositing appropriate manuscript	71%
Publisher not allowing compliance	46%
Submission process (PMCID etc)	77%
None	6%

Q 13 - Has access to free articles in PMC impacted your collection or ILLs?
Check all that apply

Fewer journal subscriptions	4%
Increased journal subscriptions	0%
Joined OA model such as BMC	6%
Reduced ILL/Doc requests	2%
Increased ILL/Doc requests	2%
No change	88%

Q15 - Does your University have an Institutional Repository (IR) for faculty articles?

Yes	32%
No	24%
Planning to implement	12%

Q16 - If yes or planning - library involved?

Yes	87%
No	13%

Comments on IR

Increased submissions not related to NIH PA Mandate

IR in soft rollout mode -- will be more widely promoted this Fall.

We may participate in digital repository efforts with CTSA, Educational Technology, or University Library Digital efforts. No overall IR.

Yale has established an Office of Digital Assets and Infrastructure which will start working on an IR. Library has a rep on this committee.

General comments on survey or NIH P/A Mandate

Survey addresses only depositing manuscripts into PMC. Citing articles in PMC in NIH progress reports etc a growing library service.

Regarding Q13 - reduced our print bound volumes

We are much more engaged in the mandate than the survey questions would indicate.

I think this is important information to track since the mandate is still under threat.

Much fanfare when mandate began, but role now less. Impressed with support from NIH & NLM.

NIH Public Access Mandate
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AAHSL Scholarly Communications Committee

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